## 2017 SURVEY OF COLLEGE AND UNIVERSITY

## CHIEF ACADEMIC OFFICERS

A STUDY BY
INSIDE HIGHER ED AND GALLUP

SCOTT JASCHIK & DOUG LEDERMAN EDITORS, INSIDE HIGHER ED

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A study by Gallup and Inside Higher Ed



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#### **FOREWORD**

*Inside Higher Ed's* sixth annual Survey of College and University Chief Academic Officers (CAOs) reveals how these leaders perceive and deal with the challenges facing higher education institutions in the U.S.

This study addresses the following questions:

- How do CAOs perceive institutional efforts to increase minority faculty hiring?
- How important are undergraduate diversity requirements to CAOs?
- How do provosts/CAOs assess the "academic health" of their institutions?
- Have CAOs seen improvements in their institution's financial outlook?
- How do CAOs assess the state of liberal arts education and its future?
- What is the state and future of the faculty and of tenure practices in higher education?
- Are institutions awarding academic credit based on demonstrated competence? How many schools are exploring this type of initiative?
- What cost-cutting practices do CAOs see their institution considering over the next year?
- What impact have assessment efforts had on teaching and learning outcomes?
- To what extent do institutions consider student evaluations of teaching?
- What do provosts/CAOs think of "trigger warnings" alerting students to potentially sensitive content in course assignments?
- How helpful do CAOs consider teaching assistant unions to be?
- How much do out-of-classroom learning opportunities enhance undergraduate education?



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#### **SNAPSHOT OF FINDINGS**

- CAOs overwhelmingly agree that liberal arts education is central to undergraduate education, even in professional programs.
- CAOs are more likely to disagree than agree that liberal arts education has become too divorced from students' career needs. Community college CAOs take the opposing view on this matter.
- The majority of CAOs (54 percent) expect a significant drop in the number of liberal arts institutions over five years and are more likely to agree than to disagree that liberal arts education in the U.S. is in decline.
- Most CAOs believe departments at their college highly value diversity in hiring. But they are divided about whether minority hiring targets nationally are realistic and whether their institution can meet its own target.
- More than 7 in 10 CAOs believe that undergraduates should be required to take a course on diversity and say such courses improve the campus experience for all students.
- More CAOs disagree (47 percent) than agree (36 percent) that their institution's financial situation has improved in the last year; that is a change from 2015, when 42 percent agreed and 40 percent disagreed.
- Six in 10 CAOs expect their college to be as reliant on faculty tenure in the future as it is today. Most of the remaining CAOs expect their college to be more reliant on tenure going forward.
- A majority of CAOs (61 percent) favor long-term contracts for faculty members as an alternative to tenure.
- About 8 in 10 CAOs (82 percent) favor awarding academic credits based on demonstrated competence.
- Half of CAOs say their institution currently awards academic credits based on demonstrated competence.
- Almost half of CAOs (48 percent) believe their college is very effective at preparing students for the world of work.
- CAOs tend to be more positive than negative about assessment efforts their college has taken. Majorities say the quality of teaching has improved because of the growth of assessment efforts.
- Most CAOs (64 percent) are at least somewhat concerned that student evaluations of teachers may not be accurate.
- CAOs are more likely to agree (36 percent) than to disagree (27 percent) that trigger warnings are useful tools for faculty members.
- Most say their institution is focusing more on the ability of its degree programs to help students get a good job.
- CAOs are divided on whether teaching assistant unions improve pay and working conditions for teaching assistants and are highly skeptical that unions improve teaching and learning in higher education.
- CAOs are confident in the academic health of their institution, with more than 8 in 10 (86 percent) rating their institution's academic health as excellent or good.

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#### **METHODOLOGY**

This report presents findings from a quantitative survey research study Gallup conducted on behalf of *Inside Higher Ed*. The objective of the study was to learn the practices and perceptions of college and university chief academic officers related to finances, academics, strategies and policies.

Gallup sent invitations via email to 2,721 CAOs and provosts and sent regular reminders throughout the Nov. 2-Dec. 7, 2016, field period. Gallup collected 654 completed or partially completed web surveys, yielding a 24 percent response rate. Respondents represented 332 public institutions, 303 private institutions, and 12 institutions from the for-profit sector.

	Total Participation by Sector												
	All	Institutio	ns by Se	ctor		Public	Private Nonprofit						
	All	Public	Private Non- profit	For- Profit	Doctoral	Master's Bacc.	Assoc.	Doctoral/ Master's	Bacc.	Assoc.*			
Total N	654	332	303	12	42	110	168	144	128	5			

<sup>\*</sup>Data are not reported as a result of small sample size.

Note: The total sample size includes seven institutions that could not be categorized by sector. Additionally, system offices and specialized institutions are not categorized by highest degree offering.

Some sectors do not have data reported due to low sample sizes. Sector groupings are determined based on the 2015 Carnegie Code for the institution.

Gallup education consultants developed the questionnaire in collaboration with Scott Jaschik and Doug Lederman of *Inside Higher Ed*. Bible colleges and seminaries with a Carnegie Code classification of 24 and institutions with enrollments of fewer than 500 students were excluded from the sample. Each institution is represented only once in the results

The survey was an attempted census of all CAOs using the most comprehensive sample information available. Gallup statistically weighted data to correct for nonresponse, matching the obtained sample to targets for all U.S. colleges and universities from the Integrated Postsecondary Education Data System database. Gallup weighted the sample based on institutional control (public or private nonprofit), four-year or two-year degree offerings, student enrollment, and geographical region. Therefore, the weighted sample results can be considered representative of the views of CAOs at colleges nationwide.

The following sections present the findings of the survey. In some cases, reported frequencies may not add up to 100 percent due to rounding. The results do not include "Don't know" and "Refused" responses.



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#### **ACADEMIC HEALTH**

Chief academic officers are quite positive about the academic health of their institution. Over all, 32 percent rate their college's academic health as excellent, and 54 percent describe it as good. These figures are very similar to the results from the 2015 *Inside Higher Ed* survey of CAOs (30 percent excellent, 54 percent good).

Private college CAOs are slightly more likely than public college CAOs to rate their institution's academic health as excellent (35 percent to 28 percent). Within public colleges, CAOs at doctoral institutions are most likely to rate their institution's academic health as excellent, with those at master's/baccalaureate and associate degree institutions less likely to do so.

Within private colleges, CAOs at baccalaureate-level institutions tend to be more positive about their college's academic health than do those at doctoral or master's institutions.

At least 8 in 10 CAOs, regardless of institution type, rate their college's academic health as excellent or good.

How wo	ould you a	issess the "acadei		your institution ovides) as of fa		quality of t	he educatio	n your					
	All Institutions by Sector Public Private Nonprofit												
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.					
% Excellent	32	28	35	40	22	30	26	37					
% Good	54	59	49	51	64	56	57	44					
% Fair	12	10	13	8	13	11	13	19					
% Poor	1	2	<1	0	1	4	1	<1					
% Failing	1	0	3	0	0	0	4	0					

#### LIBERAL ARTS EDUCATION

At a time when many critics are questioning the value of a liberal arts education, chief academic officers leave little doubt about their support. Nine in 10 strongly agree (65 percent) or agree (25 percent) that liberal arts is central to undergraduate education, even in professional programs. Chief academic officers at public associate degree institutions (42 percent) are less likely to strongly agree with this statement than are those at other institutions.

CAOs also recognize the challenges associated with liberal arts education. They are considerably more likely to strongly agree or agree (45 percent) than to strongly disagree or disagree (26 percent) that liberal arts education is in decline in the U.S. And 54 percent agree, while 20 percent disagree, that they expect to see a significant decline in the number of U.S. liberal arts colleges over the next five years.

Sixty percent agree that politicians, presidents and boards are increasingly unsympathetic to liberal arts education, and 50 percent agree that they feel pressure from university officials to focus on academic programs with clear career orientations.

Chief academic officers are more likely to disagree (46 percent) than to agree (33 percent) that liberal arts education has become too divorced from students' career needs. Community college CAOs diverge from their peers by being more likely to agree than to disagree that liberal arts education has become too divorced from students' career considerations.

By a 44 percent to 33 percent margin, CAOs also reject the idea that liberal arts faculty members are not interested enough in parents' and students' desire for career preparation.

The next set of questions are about liberal arts education.
Using a five-point scale, where 5 means strongly agree and 1 means strongly disagree, please indicate your level of agreement with the following statements.

	All Ins	titutions by	Sector		Public		Private N	lonprofit		
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.		
Liberal arts education is central to undergraduate education — even in professional programs.										
%5 Strongly agree	65	53	77	79	73	42	76	85		
%4	25	32	17	12	24	39	19	9		
%3	7	11	2	2	2	15	3	2		
%2	2	3	2	5	0	3	1	2		
%1 Strongly disagree	1	1	1	2	1	1	0	1		
Liberal arts education	in all types of ins	stitutions in the U	J.S. is in decline.							
%5 Strongly agree	9	7	10	5	5	9	10	6		
%4	36	36	35	23	39	38	40	30		
%3	30	33	27	26	28	35	25	29		
%2	22	22	21	44	27	16	21	27		
%1 Strongly disagree	4	1	6	2	1	1	4	9		

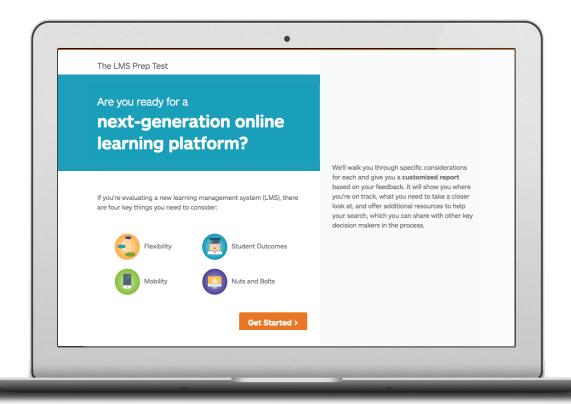
## **LIBERAL ARTS EDUCATION (cont.)**

The following are about liberal arts education. Using a 5-point scale, where 5 means strongly agree and 1 means strongly disagree, please indicate your level of agreement with the following statements.

	All Ins	titutions by	Sector		Public		Private N	lonprofit
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.
Liberal arts education h	nas become too	divorced from tl	he career needs of	f students and gra	duates.			
%5 Strongly agree	9	10	8	12	4	13	6	11
%4	24	27	21	22	25	32	25	13
%3	21	25	16	17	18	24	20	14
%2	29	27	31	36	35	21	28	29
%1 Strongly disagree	17	11	23	13	18	9	21	32
Politicians, presidents	and boards are i	ncreasingly uns	ympathetic to libe	ral arts education.				
%5 Strongly agree	20	21	20	30	17	18	19	22
%4	40	40	42	41	52	39	35	40
%3	22	23	19	13	23	24	25	17
%2	15	12	17	14	6	14	19	19
%1 Strongly disagree	4	4	2	2	3	5	3	2
I feel pressure from my	president, boar	d or donors to fo	ocus on academic	programs that have	ve a clear orientati	on toward care	ers.	
%5 Strongly agree	19	21	16	15	20	23	12	16
%4	31	32	30	32	35	31	30	32
%3	18	19	19	17	18	19	24	17
%2	18	18	18	28	23	16	21	17
%1 Strongly disagree	14	10	17	8	5	11	13	18
Liberal arts faculty mer	mbers are not su	ufficiently interes	sted in the desire o	of parents and stud	dents for career pr	eparation.		
%5 Strongly agree	8	8	7	8	9	8	9	4
%4	25	26	23	29	21	29	24	23
%3	23	25	21	24	16	26	21	21
%2	28	30	27	31	38	25	28	27
%1 Strongly disagree	16	11	21	7	15	12	18	25
I expect to see the num	nber of liberal ar	ts colleges decli	ne significantly ov	er the next five yea	ars.			
%5 Strongly agree	9	7	12	6	6	8	14	10
%4	45	49	40	47	39	52	42	36
%3	26	26	25	26	32	25	26	27
%2	16	16	15	18	23	14	14	19
%1 Strongly disagree	4	2	7	3	1	2	4	8

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#### **FACULTY AND TENURE**

Most chief academic officers foresee little change in the tenure system at their institution. Six in 10 say their institution will be just as reliant on tenure in the future as it is today. Those who predict change are more likely to believe their college will become more reliant (29 percent) than less reliant (10 percent) on tenure.

Along these lines, 71 percent strongly agree or agree that tenure remains important and viable at their institution. The percentage of CAOs who strongly agree in the importance and viability of tenure ranges from a low of 21 percent at community colleges to a high of 73 percent at public doctoral colleges.

The vast majority of CAOs (73 percent) say their college relies significantly on non-tenure track faculty for instruction. As in last year's survey, private baccalaureate CAOs (52 percent) are much less likely than other institutions to say their college relies to a large degree on non-tenure track faculty.

Over all, 61 percent of CAOs say they favor an alternative to tenure that relies on a system of long-term employment contracts for faculty members. This includes at least 7 in 10 CAOs at public associate degree and private doctoral/master's colleges but less than half of those at public doctoral, public master's/baccalaureate, and private baccalaureate colleges.

CAOs are more likely to strongly agree or agree (49 percent) than to strongly disagree or disagree (30 percent) that graduate programs admit more Ph.D. students than they should, given the job market. They overwhelmingly disagree that ineffective teachers can earn tenure at their institution based on their research success.

	Next are	e some iten	ns about fac	culty member	s at higher ed	lucation instit	utions.	
	All Ins	titutions by	Sector		Public		Private N	lonprofit
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.
Does your institutio	n rely significant	tly on non-tenure	track faculty for	instruction?				
% Yes	73	75	70	76	68	76	81	52
% No	27	25	30	24	32	24	19	48
Tenure remains imp	ortant and viabl	e at my institution	on.					
%5 Strongly agree	39	38	42	73	64	21	43	54
%4	32	38	27	25	30	39	26	29
%3	9	9	8	2	5	14	10	6
%2	5	5	5	0	1	9	6	4
%1 Strongly disagree	15	10	18	0	0	18	14	8
In the future, do you members for instru		your institution v	will become more	e reliant, less relian	t or will it be about	as reliant as it is too	day on non-tenure	track faculty
% Less reliant	10	6	14	20	10	4	10	22
% As reliant as today	61	60	63	52	60	61	61	60
% More reliant	29	33	23	28	30	35	29	17
Do you favor or opp	ose a system of	long-term contr	acts over the exi	sting tenure systen	n in higher educatio	n?		
% Favor	61	60	61	40	37	72	70	49
% Oppose	39	40	39	60	63	28	30	51

## **FACULTY AND TENURE (cont.)**

	All Ins	titutions by	Sector		Public		Private N	lonprofit
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.
Faculty members at	my institution of	can earn tenure b	ased on researc	h success, even if t	hey are known to b	e ineffective teache	ers.	
%5 Strongly agree	2	2	2	3	0	4	3	1
%4	5	7	2	13	4	8	4	1
%3	5	7	3	8	8	1	5	1
%2	22	27	17	48	34	15	22	14
%1 Strongly disagree	66	56	76	29	54	72	66	83
Graduate programs	at higher educa	tion institutions	in this country a	e admitting more F	h.D. students than	they should, given	the current job ma	rket.
%5 Strongly agree	17	19	14	20	18	19	16	17
%4	32	25	37	27	35	21	29	42
%3	22	25	20	30	21	29	27	19
%2	21	21	21	14	19	23	18	13
%1 Strongly disagree	9	9	9	9	7	8	9	8

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#### COMPETENCY-BASED EDUCATION

Chief academic officers widely support awarding academic credit for demonstrated competence. Eighty-two percent favor competency-based education, with support highest among those at public associate degree institutions (94 percent) and lowest among those at private baccalaureate colleges (58 percent).

About half of CAOs (51 report) report that their institution awards credit based on demonstrated competence. This includes 73 percent at public associate degree institutions but only 29 percent at private baccalaureate colleges.

Of those who report that their college does not offer competency-based education, 34 percent say their institution is exploring such an initiative. However, the vast majority of these CAOs (85 percent) believe that their institution is very unlikely or unlikely to implement a competency-based program.

	All Inst	titutions by	Sector		Public		Private N	lonprofit
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.
Do you favor or opp	ose the awardin	g of academic c	redit based on de	emonstrated comp	etence?			
% Favor	82	91	72	83	81	94	79	58
% Oppose	18	9	28	17	19	6	21	42
Does your institution	n award academ	nic credit based	on demonstrated	competence?				
% Yes	51	65	38	53	58	73	50	29
% No	49	35	62	47	42	27	50	71
Is your institution c	urrently exploring	g a competency-	based education	approach for som	e programs?*			
% Yes	34	49	26	n/a	44	51	37	13
% No	66	51	74	n/a	56	49	63	87
How likely is your in	nstitution to impl	ement a compet	ency-based educ	cation approach in	the near future for s	some programs?**		
% Very likely	1	0	1	n/a	n/a	n/a	2	1
% Likely	14	19	12	n/a	n/a	n/a	11	12
% Unlikely	55	65	51	n/a	n/a	n/a	67	46
% Very unlikely	30	16	36	n/a	n/a	n/a	20	41

<sup>\*</sup>Asked of CAOs whose institution does not award academic credit based on demonstrated competence. Some subgroups are not reported due to small sample sizes.

n/a: Not reported due to small sample size.

Chief academic officers generally agree that it should be easier for students to earn credits and degrees based on what they have learned rather than on just the time they have spent in the classroom. Sixty-one percent strongly agree or agree with this statement, including 70 percent at public institutions but only 51 percent at private colleges. Higher support for the idea among those at public institutions is mainly a result of two-year institution CAOs having more positive views.

<sup>\*\*</sup>Asked of CAOs whose institution does not award academic credit based on demonstrated competence and is not exploring a competency-based education initiative.

### **COMPETENCY-BASED EDUCATION (cont.)**

More than 6 in 10 CAOs also agree that competency-based education can save students considerable money.

CAOs generally do not view competency-based education as a significant threat to general education. Over all, 31 percent of CAOs strongly agree or agree that competency-based education can be damaging to general education, while 41 percent strongly disagree or disagree. More private institution CAOs agree (41 percent) than disagree (32 percent) on this question, and more public institution CAOs disagree (49 percent) than agree (22 percent). Again, community college CAOs are most positive about the effects of competency-based education, and private baccalaureate college CAOs are most negative.

	All Inst	titutions by	Sector		Public		Private N	lonprofit
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.
It should be easier for s	tudents to earn o	credits and degre	ees based on wh	at they have learn	ed, not just time ir	the classroom.		
%5 Strongly agree	21	24	17	12	16	26	12	16
%4	40	46	34	44	37	50	42	29
%3	24	19	30	25	28	16	27	30
%2	12	10	14	17	17	7	17	15
%1 Strongly disagree	3	1	5	2	2	1	3	10
Competency-based edu	cation can save	students consid	erable money.					
%5 Strongly agree	20	20	19	8	14	25	15	16
%4	44	47	39	43	46	45	40	45
%3	26	24	29	23	31	23	30	26
%2	9	9	10	24	10	6	13	8
%1 Strongly disagree	2	<1	3	2	0	<1	2	5
Competency-based edu	cation may be d	amaging to gene	eral education.					
%5 Strongly agree	9	5	14	6	8	4	14	18
%4	22	17	27	19	30	12	22	35
%3	28	29	27	28	28	29	35	20
%2	28	35	21	40	25	36	26	14
%1 Strongly disagree	13	14	11	7	9	18	4	12

#### **INSTITUTIONAL EFFECTIVENESS**

When asked to rate the effectiveness of their institution in eight different areas, CAOs are most likely to say their institution is very effective at providing a quality undergraduate education, with 67 percent giving this response. Nearly half say their college is very effective at preparing students for the world of work (48 percent) and offering undergraduate support services (47 percent).

CAOs are less likely to say their college is very effective at recruiting and retaining talented faculty members (33 percent), controlling rising costs for students and their families (32 percent), identifying and assessing student outcomes (30 percent), preparing students for engaged citizenship (27 percent), and using data to aid and inform campus decision-making (26 percent). But even in these areas, at least 8 in 10 rate their college as being at least somewhat effective.

	All Ins	titutions by	Sector		Public		Private N	lonprofit
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.
Providing a quality und	ergraduate educa	ation						
% Very effective	67	66	69	81	62	68	64	68
% Somewhat effective	32	34	30	19	38	32	36	32
% Not too effective	<1	1	<1	0	1	1	0	1
% Not effective at all	0	0	0	0	0	0	0	0
%1 Strongly disagree	1	0	2	0	0	0	3	1
Preparing students for	the world of worl	<	-					
% Very effective	48	45	49	54	42	49	48	46
% Somewhat effective	51	54	50	46	53	51	50	54
% Not too effective	1	1	1	0	5	0	2	<1
% Not effective at all	0	0	0	0	0	0	0	0
Offering undergraduate	e support service	S	-					
% Very effective	47	47	47	62	44	45	50	48
% Somewhat effective	46	45	47	38	49	45	45	48
% Not too effective	7	8	5	0	6	9	6	4
% Not effective at all	0	0	0	0	0	0	0	0
Recruiting and retaining	g talented faculty							
% Very effective	33	28	38	46	25	26	36	37
% Somewhat effective	57	61	53	47	67	62	52	53
% Not too effective	10	11	9	7	8	11	12	10
% Not effective at all	<1	<1	0	0	0	1	0	0

### **INSTITUTIONAL EFFECTIVENESS (cont.)**

	All Ins	titutions by	Sector		Public		Private N	lonprofit
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.
Controlling rising price	es for students an	d their families						
% Very effective	32	44	19	42	40	44	23	17
% Somewhat effective	53	47	59	53	45	49	60	53
% Not too effective	14	8	20	5	14	8	16	27
% Not effective at all	1	<1	2	0	1	0	1	3
Identifying and assess	ing student outco	omes						
% Very effective	30	29	32	32	27	31	30	30
% Somewhat effective	59	57	60	65	55	56	61	64
% Not too effective	9	12	7	3	17	12	10	6
% Not effective at all	2	3	1	0	1	2	0	<1
Preparing students for	engaged citizens	ship						
% Very effective	27	20	34	39	26	15	42	34
% Somewhat effective	59	62	55	54	63	64	52	55
% Not too effective	13	15	11	7	9	20	6	11
% Not effective at all	1	3	0	0	3	1	0	0
Using data to aid and i	nform campus de	ecision-making						
% Very effective	26	31	20	52	23	30	25	21
% Somewhat effective	61	56	67	45	61	57	63	65
% Not too effective	11	11	12	3	14	11	11	10
% Not effective at all	2	2	2	0	3	2	1	3

There are some key differences in perceived effectiveness by institution type:

- CAOs at public colleges are much more likely (44 percent) than those at private colleges (19 percent) to say their institution is very effective at controlling rising education costs.
- Public college CAOs are also more likely than their private college counterparts to view their institution as being very effective at using data to aid and inform campus decision-making (31 percent to 20 percent).
- Private college CAOs rate their institutions higher than do public college CAOs on preparing students for engaged citizenship (34 to 20 percent) and recruiting and retaining talented faculty (38 to 28 percent).
- CAOs at public doctoral colleges are significantly more positive than those at other types of colleges about their institution's effectiveness at providing a quality undergraduate education, using data to aid and inform campus decision-making, offering undergraduate support services, and recruiting and retaining talented faculty.

#### **ASSESSMENT PROGRAMS**

Colleges are increasingly using assessment methods, either of their own choosing or as required by government institutions, to measure how they are achieving their objectives. CAOs are more positive than negative about the impact such assessment programs have had on teaching, learning and policy.

As seen on the following page, 51 percent of CAOs strongly agree or agree that the growth of assessment systems has improved the quality of teaching and learning at their college; only 20 percent strongly disagree or disagree. CAOs agree rather than disagree (59 to 15 percent) that their college regularly makes changes in curriculum, teaching practices or student services based on what it finds in its assessments. And 40 percent agree that assessments have led to better use of technology in teaching and learning, while 27 percent disagree.

CAOs generally reject the notion that assessment efforts are designed more to keep accreditors and politicians happy than to improve teaching and learning. Forty-eight percent strongly disagree or disagree this is the case, while 27 percent strongly agree or agree.

When asked about faculty perceptions of assessment efforts, CAOs believe that faculty members are more likely to value than to not value assessment efforts -- 35 percent strongly agree or agree faculty members value assessment efforts, while 25 percent strongly disagree or disagree.

At the same time, CAOs largely agree that faculty members view assessment efforts as requiring a lot of work on their parts (81 percent strongly agree or agree to 6 percent strongly disagree or disagree).

Private college CAOs (56 percent) are somewhat more likely than public college CAOs (46 percent) to agree that the growth of assessment systems has improved the quality of teaching and learning at their college and to say their institution regularly makes changes based on what it finds through assessments, 61 percent to 54 percent.

Private college CAOs are less likely than their public college counterparts to view assessment efforts as mostly designed to satisfy outside groups like politicians and accreditors.

## **ASSESSMENT PROGRAMS (cont.)**

Most colleges have now been engaged in assessment of student learning for a number of years.

Using a five-point scale, where 5 means strongly agree and 1 means strongly disagree, please indicate your level of agreement with the following statements.

	All Ins	titutions by	Sector		Public		Private N	lonprofit
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.
The growth of assessn	nent systems has	improved the qual	ity of teaching and	learning at my c	ollege.			
%5 Strongly agree	7	9	6	10	5	10	4	7
%4	44	37	50	38	34	40	52	50
%3	29	32	27	39	40	28	30	17
%2	17	21	14	12	20	19	11	20
%1 Strongly disagree	3	2	3	0	1	2	2	6
My college's use of ass	sessment is more	about keeping acc	reditors and politic	ians happy than	it is about teachi	ng and learning.		
%5 Strongly agree	9	10	8	0	3	11	9	9
%4	18	25	12	22	28	26	14	15
%3	25	23	26	13	27	22	24	19
%2	36	33	38	48	37	33	41	43
%1 Strongly disagree	12	8	16	18	5	8	12	14
My college regularly ma	akes changes in th	ne curriculum, tead	ching practices or s	tudent services	based on what it	finds through as	sessment.	
%5 Strongly agree	13	10	16	10	10	9	8	21
%4	46	44	47	58	41	47	53	42
%3	26	30	23	26	25	26	26	21
%2	13	14	13	6	22	13	10	14
%1 Strongly disagree	2	3	2	0	2	4	3	1
Faculty members value	e assessment effo	rts at my college.						
%5 Strongly agree	4	3	6	3	2	4	3	4
%4	31	30	31	34	35	26	24	37
%3	40	39	41	32	34	47	46	38
%2	21	23	18	32	25	20	24	16
%1 Strongly disagree	4	5	4	0	4	3	3	5

## **ASSESSMENT PROGRAMS (cont.)**

Most colleges have now been engaged in assessment of student learning for a number of years.

Using a five-point scale, where 5 means strongly agree and 1 means strongly disagree, please indicate your level of agreement with the following statements.

	All Ins	stitutions by S	Sector		Public		Private N	lonprofit
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.
Faculty members at my college view assessment as requiring a lot of work on their parts.								
%5 Strongly agree	26	31	21	14	30	31	20	25
%4	55	50	59	59	55	48	65	52
%3	13	11	16	20	10	12	11	21
%2	6	8	4	7	3	9	3	3
%1 Strongly disagree	<1	<1	0	0	1	0	0	0
At my college, assessr	nent has led to bet	tter use of technolo	ogy in teaching and	l learning.				
%5 Strongly agree	6	5	8	10	5	5	11	9
%4	34	37	30	47	29	38	25	26
%3	32	35	31	27	39	37	37	29
%2	20	18	22	16	25	16	19	23
%1 Strongly disagree	7	5	9	0	3	5	8	14

Over all, 71 percent of CAOs say their institution makes effective use of data designed to measure student outcomes. Those employed by public doctoral colleges are most likely to say this.

	All Institutions by Sector				Public		Private N	lonprofit
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.
Does your institution r	nake effective use	of data used to me	easure student out	comes?				
% Yes	71	68	74	80	61	68	67	79
% No	29	32	26	20	39	32	33	21

#### STUDENT EVALUATIONS OF TEACHING

Recent studies have called into question the validity of teacher evaluations by students as measures of student learning and instructor effectiveness. Such evaluations are often used in decisions about faculty employment, including tenure status. Almost two-thirds of CAOs (64 percent) say they are very or somewhat concerned that student evaluations of professors may not be accurate. CAOs at community colleges are slightly less concerned (55 percent).

Some of the research on student evaluations of teachers has found that they tend to be biased against female and minority instructors. CAOs are evenly divided about whether they are concerned that student evaluations may result in unfair criticism of female or minority faculty members. However, there are wide discrepancies in concern between CAOs at public doctoral institutions and those at community colleges (83 vs. 31 percent are very or somewhat concerned).

In terms of current practice, 85 percent of academic officers say their college uses student evaluations of faculty members in reviews for tenure, promotion or merit raises. Twenty-six percent of CAOs whose institution uses student evaluations of teachers say they are very important in faculty member reviews, and 66 percent say they are somewhat important. Student evaluations are much more commonly used at both public and private four-year institutions (90 percent or greater) than at community colleges (64 percent).

Over all, 42 percent of CAOs say their institution is reconsidering how it uses student reviews of professors. That includes 55 percent at public doctoral institutions and 54 percent at private baccalaureate institutions.

Many colleges use various methods so that students can evaluate their professors. The last year has seen several studies questioning the accuracy of these evaluations — even those sponsored by colleges.

	All Ins	titutions by	Sector		Public		Private N	lonprofit		
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.		
Regardless of whether be accurate?	Regardless of whether your institution currently uses student evaluations of professors, how concerned are you that student evaluations of professors may not be accurate?									
% Very concerned	17	12	21	23	12	12	22	18		
% Somewhat con- cerned	47	48	48	47	61	43	41	53		
% Not very concerned	29	31	26	26	16	37	29	25		
% Not concerned at all	7	9	5	5	11	8	8	4		
How concerned are you	that these reviev	vs may result in u	nfair criticism of	emale or minorit	y faculty member	rs?				
% Very concerned	16	12	20	31	15	9	18	23		
% Somewhat con- cerned	34	31	38	52	49	22	34	39		
% Not very concerned	33	36	29	15	27	41	35	29		
% Not concerned at all	18	22	12	3	8	28	13	10		

## **STUDENT EVALUATIONS OF TEACHING (cont.)**

	All Ins	titutions by	Sector		Public		Private Nonprofit		
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.	
Is your institution reconsidering how it uses student reviews of professors?									
% Yes	42	32	53	55	34	29	37	54	
% No	58	68	47	45	66	71	63	46	
Does your college curre	ently use student	evaluations of fac	culty members in	our reviews for t	enure, promotion	or merit raises?			
% Yes	85	77	93	98	96	64	98	92	
% No	15	23	7	2	4	36	2	8	
How important are stud	dent evaluations i	n your institution's	s reviews of facult	ty members?*					
% Very important	26	24	27	14	24	31	26	29	
% Somewhat important	66	66	67	78	70	58	66	67	
% Not very important	7	10	5	8	6	10	8	5	
% Not important at all	<1	<1	0	0	0	1	0	0	

<sup>\*</sup>Asked only of respondents whose institutions use student evaluations of faculty members in reviews.

#### MINORITY FACULTY HIRING

In fall 2015, students at many colleges protested the treatment of racial and ethnic minorities on their campuses. Some of the protesters issued demands for more minority faculty members. A year after the protests, 24 percent of CAOs say their college has a target for increased minority faculty hiring, whether it is in response to protesters or because of other institutional commitments. This includes 51 percent of those at public doctoral colleges.

As you n	nay know,	after a wave of st numb		in the last year faculty membe			ged to incre	ase the			
All Institutions by Sector Public Private Nonprofit											
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.			
		ests, or because of prior/s rs you employ by a certain		ents, does your collec	ge currently have a tai	get for increasin	g the number or p	oercentage			
% Yes 24 22 26 51 20 21 31 20											
% No	76	78	74	49	80	79	69	80			

As seen on the following page, CAOs generally believe their institution values racial and ethnic diversity in faculty hiring but also recognize the challenges in achieving it. Fifty-three percent of CAOs strongly agree or agree that most academic departments at their institution place a high value on diversity in the hiring process; just 15 percent strongly disagree or disagree. But 62 percent strongly agree or agree that their college will need to make hiring decisions in new ways to bring about a more diverse faculty.

Reflecting the challenges in attaining a diverse faculty, CAOs are divided on their agreement about whether targets for minority hiring in higher education are realistic and whether they are confident their own college can reach its target.

Those who say their institution has a specific target for minority hiring are more optimistic than pessimistic that their college can meet that goal — 38 percent strongly agree or agree and 23 percent strongly disagree or disagree that they are confident their college can reach its target.

## **MINORITY FACULTY HIRING (cont.)**

Using a five-point scale, where 5 means strongly agree and 1 means strongly disagree, please indicate your level of agreement with the following statements.

	please indicate your level of agreement with the following statements.											
	A	All Institutions by	Sector		Public		Private No	onprofit				
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.				
I am confider	nt my college	can reach its target for m	nority hiring.									
%5 Strongly agree	9	9	9	11	9	8	4	12				
%4	22	19	26	29	26	17	22	26				
%3	38	41	33	45	33	44	39	34				
%2	26	26	27	15	28	25	32	18				
%1 Strongly disagree	5	5	5	0	5	6	3	9				
The targets for	or minority hir	ing in higher education ar	e realistic.									
%5 Strongly agree	5	6	4	9	5	5	1	7				
%4	24	18	29	20	18	18	29	27				
%3	37	41	33	40	31	48	31	30				
%2	28	28	29	21	42	23	35	26				
%1 Strongly disagree	7	7	6	9	5	6	3	10				
My college w	ill need to ma	ke hiring decisions in new	ways in order to ach	nieve meaningful incre	ases in the number o	f minority faculty	members.					
%5 Strongly agree	18	21	16	23	10	22	18	17				
%4	44	44	44	57	62	37	43	46				
%3	18	15	20	13	14	16	23	15				
%2	14	14	14	7	9	17	11	17				
%1 Strongly disagree	6	7	6	0	4	9	4	4				
Most acaden	nic departmen	ts at my institution place	a high value on diver	sity in the hiring proce	ess.		•					
%5 Strongly agree	18	17	19	18	15	15	20	15				
%4	35	36	33	46	48	34	36	39				
%3	32	31	33	29	29	28	29	33				
%2	12	12	13	8	6	17	15	10				
%1 Strongly disagree	3	4	2	0	3	5	1	4				

#### **DIVERSITY IN CURRICULUM**

The fall 2015 protests also raised the issue of diversity in college curriculums. Chief academic officers are sympathetic to the argument -73 percent strongly agree or agree that undergraduates should be required to take a course on diversity, and 74 percent say diversity requirements improve the campus experience for all students.

Even with broad support for diversity in curriculum, 58 percent of CAOs strongly agree or agree that student groups' demands related to curriculum should be turned over to faculty bodies for review and action, rather than administrators acting on the demands. Also, less than half of CAOs (42 percent) strongly agree or agree that the curriculum at their college should be revised to add emphasis on diversity.

CAOs also do not widely endorse adding support for departments that study minority groups, either at their own institution (29 percent strongly agree or agree, 35 percent strongly disagree or disagree) or at colleges generally (29 percent strongly agree or agree, 33 percent strongly disagree or disagree).

During the student protests last year, many student protesters demanded their colleges change their curricular requirements in ways to better reflect the diversity of American society.

Using a five-point scale, where 5 means strongly agree and 1 means strongly disagree, please indicate your level of agreement with the following statements.

	All Institutions by Sector				Public		Private Nonprofit	
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.
The curriculum at my college s	should be revised	d to add emphas	is on diversity.					
%5 Strongly agree	11	10	12	14	7	8	13	12
%4	31	30	33	27	39	28	31	38
%3	33	35	32	43	36	37	30	26
%2	18	18	17	12	10	19	20	18
%1 Strongly disagree	7	8	6	5	8	7	6	7
All undergraduates should be	required to take a	at least one cour	se dealing with d	liversity in some	way.			
%5 Strongly agree	41	38	46	42	56	29	48	50
%4	32	31	34	32	24	35	29	38
%3	14	15	12	12	10	17	14	8
%2	10	13	5	12	8	16	6	1
%1 Strongly disagree	3	4	3	2	2	4	3	4
Diversity requirements improve	e the campus ex	perience for all s	tudents.					
%5 Strongly agree	34	30	39	34	40	26	42	38
%4	40	41	38	47	47	39	37	40
%3	17	17	17	15	7	20	16	15
%2	6	10	3	2	5	12	3	5
%1 Strongly disagree	2	2	3	2	1	2	3	3

### **DIVERSITY IN CURRICULUM (cont.)**

	All Ins	titutions by	Sector		Public		Private N	lonprofit
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.
Colleges should add support (	e.g., money, pos	tions) for depart	tments that focus	s on the study of	minority groups			
%5 Strongly agree	7	7	7	9	4	7	9	6
%4	22	18	25	16	26	12	20	28
%3	38	38	40	41	43	40	42	40
%2	21	26	17	26	23	27	21	17
%1 Strongly disagree	12	12	10	8	4	15	9	9
My college should add suppor	t (e.g., money, po	ositions) for dep	artments that foo	cus on the study	of minority group	os.		
%5 Strongly agree	7	6	9	5	6	7	9	8
%4	22	24	19	17	25	22	20	22
%3	36	32	41	48	40	31	35	42
%2	22	24	19	23	25	24	24	18
%1 Strongly disagree	13	13	12	8	4	16	13	11
Demands of student groups re	elated to curricul	ım should be tui	ned over to facu	Ity bodies for rev	view and action, a	and should not b	e acted on by ad	ministrators.
%5 Strongly agree	25	24	25	40	32	18	25	26
%4	33	34	31	35	36	32	32	33
%3	20	15	24	7	17	18	20	29
%2	16	16	16	17	10	15	17	10
%1 Strongly disagree	7	11	4	0	5	16	6	2

Diversity requirements are fairly common at U.S. colleges and universities — 63 percent of CAOs say their college has at least one diversity course requirement in its curriculum. These requirements are far less common at community colleges, with 44 percent of CAOs at public associate institutions saying their college requires at least one diversity course.

	All Ins	titutions by	Sector		Public		Private N	lonprofit
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.
Does your college currently red	quire at least one	course dealing	with diversity in :	some way?				
% Yes	63	52	75	77	66	44	75	73
% No	37	48	25	23	34	56	25	27

CAOs at colleges that require a diversity course are much more positive about diversity in college curriculum. Eighty-two percent agree that undergraduates should be required to take a course on diversity, including 52 percent who strongly agree. That compares with 60 percent of CAOs at colleges without a diversity requirement, with just 23 percent of CAOs at those schools expressing strong agreement.

In addition, 40 percent of CAOs at colleges with diversity requirements strongly agree such courses improve the campus experience for all students, compared with 23 percent of those at colleges with no such requirement.

#### TRIGGER WARNINGS

Trigger warnings remain controversial, and are a key issue in the debate about whether college campuses should be "safe spaces" or places where students encounter a variety of different viewpoints, including some that could offend them.

The vast majority of chief academic officers (99 percent) say their college does not have a formal policy or guidelines about the use of trigger warnings.

	All Ins	titutions by	Sector		Public		Private N	lonprofit
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.
Does your institution have a fo	ormal policy or gu	uidelines about t	he use of trigger	warnings?				
% Yes	1	1	1	2	1	1	1	2
% No	99	99	99	98	99	99	99	98

Chief academic officers have mixed views on the use of trigger warnings. For example, 36 percent strongly agree or agree and 27 percent strongly disagree or disagree that trigger warnings are a useful tool for faculty members. While CAOs generally can see the benefit of using trigger warnings — 64 percent say many faculty members choose to use them in informal ways that students and professors appreciate — they mostly disagree (73 percent) that colleges should require professors to use trigger warnings.

Many chief academic officers also see the downsides of trigger warnings. Nearly two-thirds (65 percent) strongly agree or agree that such warnings may discourage students from encountering important works of literature or art. A majority (53 percent) also agree that trigger warnings are part of a trend of colleges going too far to protect students from things that might make them uncomfortable.

In terms of how professors should handle students' concerns about completing assignments in response to a trigger warning, CAOs are more likely to disagree (44 percent) than to agree (34 percent) that students should be offered alternative assignments with no effect on their course grade.

## TRIGGER WARNINGS (cont.)

As you may know, some higher education institutions are awarding academic credit based on demonstrated competence in the content area in lieu of course completion.

	All Ins	titutions by	Sector		Public		Private Nonprofit	
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.
Trigger warnings are a useful	l tool for faculty i	members to use.	!					
%5 Strongly agree	10	9	11	6	5	9	8	8
%4	26	27	26	36	29	26	20	34
%3	37	37	37	16	41	40	42	39
%2	18	18	18	26	17	18	18	13
%1 Strongly disagree	9	9	8	16	8	7	12	6
Colleges should require profe	essors to use trig	ger warnings.						
%5 Strongly agree	2	2	2	3	3	1	1	0
%4	8	8	7	13	6	8	4	9
%3	17	18	18	3	15	23	14	14
%2	33	32	34	29	36	31	32	37
%1 Strongly disagree	40	40	39	52	41	37	49	39
Trigger warnings may discou	rage students fro	om encountering	important works	of literature or a	rt.			
%5 Strongly agree	24	24	22	35	25	21	31	11
%4	41	42	41	27	42	45	41	48
%3	19	20	18	11	16	21	11	23
%2	13	11	15	27	13	10	14	13
%1 Strongly disagree	3	2	4	0	4	2	3	4
Trigger warnings are part of a	a trend of college	es going too far to	o protect student	s from things tha	at may make then	n uncomfortable		
%5 Strongly agree	19	19	19	17	17	20	19	19
%4	34	33	34	38	39	28	41	31
%3	26	31	23	22	21	35	27	19
%2	15	13	17	15	15	11	9	23
%1 Strongly disagree	6	5	7	8	7	5	5	8

## **TRIGGER WARNINGS (cont.)**

	All Ins	titutions by	Sector		Public		Private Nonprofit	
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.
Students should be offered alternative assignments, with no consequence for their grade, if they express concern about completing an assigned work in response to a trigger warning.								
%5 Strongly agree	10	9	10	2	16	8	7	7
%4	24	24	26	18	16	27	21	33
%3	23	20	25	21	22	19	28	25
%2	26	26	25	31	34	26	30	22
%1 Strongly disagree	18	20	13	27	11	20	14	13
Many faculty members, by ch	noice, use trigger	warnings in info	rmal ways that b	oth professors ar	nd students seem	to appreciate.		
%5 Strongly agree	24	20	28	25	23	20	20	31
%4	40	40	39	39	40	40	37	39
%3	25	27	23	29	28	27	27	24
%2	9	11	8	5	7	12	14	4
%1 Strongly disagree	3	1	3	3	2	1	3	3

CAOs are slightly less negative toward trigger warnings than they were a year ago. In the 2016 *Inside Higher Ed* survey, 35 percent agreed and 33 percent disagreed that trigger warnings are a useful tool for faculty to use, compared with 36 percent who agree and 27 percent who disagree in the current survey. Last year, 58 percent agreed that trigger warnings are part of a trend of colleges going too far to protect students, compared with 53 percent this year.

#### **BUDGETS AND FINANCES**

Colleges and universities face significant financial pressures attempting to deal with rising costs in a slow-growing economy. Many public institutions are facing reduced state support, and critics question the value of a college education. CAOs are more likely to strongly disagree or disagree (47 percent) than to strongly agree or agree (36 percent) that their institution's financial situation has improved in the last year. That is a less positive evaluation than a year ago, when 42 percent of CAOs strongly agreed or agreed and 40 percent strongly disagreed or disagreed that their institution's financial situation was improving.

CAOs at public institutions are more negative than those at private institutions about their college's financial situation; 57 percent of public college CAOs strongly disagree or disagree their institution's financial situation has improved – more than twice the percentage (27 percent) who strongly agree or agree. Private institution CAOs are almost evenly divided as to whether their college's financial situation has improved – 42 percent agree it has and 38 percent disagree. Both private and public CAOs are less positive about the financial situation than they were a year ago.

A majority of CAOs believe their institution is still feeling the effects of the Great Recession -- 56 percent strongly disagree or disagree that the economic downturn is effectively over at their institution. That includes 62 percent of those working at public colleges and 50 percent of those at private colleges. Also, 53 percent of all CAOs strongly agree or agree that their institution used the economic downturn to make difficult changes in academic programming budgets.

CAOs overwhelmingly acknowledge the role finances play in decisions about academic programs. Eighty-eight percent strongly agree or agree that financial concerns are prevalent in discussions about launching new academic programs. More than half (53 percent) strongly agree this is the case.

A majority of CAOs (55 percent) strongly disagree or disagree that their institution needs to reduce the number of academic programs it offers by the end of this academic year. A similar percentage (54 percent) strongly disagree or disagree their college is likely to do so.

As far as new spending is concerned, almost 7 in 10 CAOs strongly agree or agree such funding will need to come from reallocation of existing resources rather than from new revenues. Fully 80 percent of public college CAOs say this is the case, as do 59 percent of private college CAOs.

Please respond to the following items about budgets and finances at higher education institutions.

Using a five-point scale, where 5 means strongly agree and 1 means strongly disagree, please indicate your level of agreement with the following statements.

	All Ins	titutions by	Sector		Public		Private Nonprofit	
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.
Over all, the financial situation	at my institution	n has improved in	n the last year.					
%5 Strongly agree	11	10	12	5	10	9	12	10
%4	25	17	30	26	20	16	28	26
%3	18	16	21	20	14	16	22	20
%2	24	28	21	40	30	27	18	27
%1 Strongly disagree	23	29	17	10	25	32	19	17
The economic downturn that s	started in 2008 is	s effectively over	at my institution					
%5 Strongly agree	8	8	9	4	4	9	10	5
%4	20	15	25	25	20	11	19	27
%3	16	15	17	21	17	14	18	14
%2	34	35	32	32	31	36	38	30
%1 Strongly disagree	22	27	18	17	28	30	14	24
Most new funds my institution will have to spend on academic programs will come from reallocation rather than new revenues.								
%5 Strongly agree	28	38	18	35	45	39	24	14
%4	41	42	41	33	33	46	34	47
%3	15	11	19	17	13	10	22	16
%2	13	9	16	15	9	5	17	16
%1 Strongly disagree	3	<1	6	0	0	<1	3	7
My institution used the econor	mic downturn to	make tough but	necessary chang	jes in our acader	mic programming	g budgets.		
%5 Strongly agree	13	16	9	16	15	17	9	10
%4	40	40	39	40	29	42	38	31
%3	23	26	21	20	35	23	23	21
%2	19	13	25	20	13	13	23	32
%1 Strongly disagree	6	5	6	5	9	4	7	7
My institution needs to reduce	the number of a	academic progra	ms it offers by th	e end of the 201	6-17 academic y	ear.		
%5 Strongly agree	9	11	7	7	10	11	16	4
%4	17	20	14	17	15	25	14	20
%3	19	18	22	19	19	16	24	20
%2	27	29	25	41	38	26	22	28
%1 Strongly disagree	28	22	32	16	18	22	24	27

	All Inst	All Institutions by Sector			Public		Private Nonprofit	
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.
My institution is likely to reduce the number of academic programs it offers by the end of the 2016-17 academic year.								
%5 Strongly agree	6	7	6	2	5	8	8	6
%4	23	27	19	15	19	34	26	21
%3	17	18	16	16	23	19	21	14
%2	24	25	24	47	31	22	24	20
%1 Strongly disagree	30	22	35	19	23	18	21	39
Financial concerns (revenue, n	narket opportuni	ties, profit, etc.)	are prevalent in n	ny institution's d	iscussions about	launching new	academic progra	ms.
%5 Strongly agree	53	48	58	54	53	50	65	57
%4	35	40	32	33	41	40	30	30
%3	8	9	6	11	4	7	3	10
%2	3	2	3	3	2	1	1	2
%1 Strongly disagree	1	1	1	0	0	2	1	1

When asked if their institution plans to increase emphasis on a variety of practices over the next year, most CAOs say yes to increasing collaboration with other colleges and universities (93 percent) and funding programs based on alignment with the college's mission (90 percent). Eighty-two percent say they plan to expand online courses and offerings, and three-fourths say they will increase emphasis on cutting underperforming academic programs. Majorities also plan to increase emphasis on dismissing underperforming faculty members (69 percent) and promoting retirement of older professors (54 percent).

CAOs report that their institution is least likely to emphasize changing its academic mission (5 percent) or cutting athletics programs (7 percent). According to CAOs, colleges are also not as likely to focus on increasing use of part-time faculty members (37 percent), dismissing underperforming tenured faculty members (32 percent), altering the tenure policy (22 percent), increasing teaching loads for full-time faculty (21 percent) or outsourcing instructional services (18 percent).

Please indicate whether you plan to INCREASE emphasis on the following practices at your institution over the next year.									
	All Ins	All Institutions by Sector			Public			Private Nonprofit	
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.	
Increasing collaboration with other colleges and universities									
% Yes	93	96	91	100	91	96	91	91	
% No	7	4	9	0	9	4	9	9	
Funding programs based on th	ne alignment with	n our mission							
% Yes	90	90	91	98	91	90	91	90	
% No	10	10	9	2	9	10	9	10	
Expanding online programs and offerings									
% Yes	82	86	77	98	85	83	86	61	
% No	18	14	23	2	15	17	14	39	

## Please indicate whether you plan to INCREASE emphasis on the following practices at your institution over the next year.

at your institution over the next year.								
	All Ins	titutions by	Sector		Public		Private N	lonprofit
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.
Cutting underperforming acad	lemic programs							
% Yes	75	77	72	63	66	84	86	61
% No	25	23	28	37	34	16	14	39
Dismissing underperforming f	aculty members							
% Yes	69	66	70	59	65	68	74	69
% No	31	34	30	41	35	32	26	31
Promoting retirement of older	professors							
% Yes	54	50	58	44	52	53	64	61
% No	46	50	42	56	48	47	36	39
Increasing use of part-time fac	Increasing use of part-time faculty members							
% Yes	37	42	28	24	36	48	33	25
% No	63	58	72	76	64	52	67	75
Dismissing underperforming t	enured faculty m	embers						
% Yes	32	33	28	30	22	38	26	23
% No	68	67	72	70	78	62	74	77
Altering the tenure policy								
% Yes	22	19	24	26	20	18	26	14
% No	78	81	76	74	80	82	74	86
Increasing teaching loads for	full-time faculty r	nembers						
% Yes	21	25	14	31	23	27	18	6
% No	79	75	86	69	77	73	82	94
Outsourcing some instruction	al services							
% Yes	18	18	16	36	13	16	19	6
% No	82	82	84	64	87	84	81	94
Cutting athletics programs								
% Yes	7	9	6	5	7	10	3	5
% No	93	91	94	95	93	90	97	95
Changing the academic missi	on of the instituti	on						
% Yes	5	6	4	5	8	4	4	6
% No	95	94	96	95	92	96	96	94

Public college CAOs are more likely than private college CAOs to say their institution plans to enhance emphasis on increased use of part-time faculty (42 percent to 28 percent) and to increase teaching loads for full-time faculty members (25 percent to 14 percent).

At 61 percent, CAOs at private baccalaureate colleges are least likely to say their institution plans to increase emphasis on expanding online offerings.

When asked about allocation of funds in the next year among various budget categories, CAOs are most likely to say they anticipate major allocation for STEM fields (64 percent strongly agree or agree) and professional or pre-professional programs (61 percent). About half expect major allocation of funds for online programs (51 percent strongly agree or agree). Only one-third expect major allocation of funds for arts and sciences programs.

Nearly 9 in 10 CAOs at public doctoral colleges expect major allocation of funds for STEM programs at their institution.

I anticipate major allocation of funds to the following categories in the next budget year.									
	All Ins	titutions by	Sector		Public		Private Nonprofit		
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.	
STEM fields									
%5 Strongly agree	19	17	21	28	22	15	21	25	
%4	45	50	38	60	45	50	39	38	
%3	24	22	27	12	26	21	27	25	
%2	10	8	12	0	5	9	12	11	
%1 Strongly disagree	3	4	2	0	3	5	2	1	
Professional or pre-profession	Professional or pre-professional programs								
%5 Strongly agree	18	11	25	12	10	11	25	24	
%4	43	44	41	41	47	47	48	26	
%3	22	24	21	29	26	19	21	30	
%2	9	12	7	16	13	12	4	10	
%1 Strongly disagree	7	9	6	3	4	10	1	10	
Online programs									
%5 Strongly agree	13	8	17	15	9	6	20	12	
%4	38	38	37	36	46	38	44	26	
%3	25	32	19	38	19	32	20	18	
%2	13	14	13	12	14	15	12	20	
%1 Strongly disagree	10	8	13	0	12	9	5	24	
Arts and sciences programs	Arts and sciences programs								
%5 Strongly agree	9	5	14	7	4	5	8	20	
%4	24	25	23	32	25	22	23	21	
%3	33	33	34	20	36	35	33	38	
%2	24	24	23	35	27	24	31	16	
%1 Strongly disagree	9	13	5	5	8	15	5	5	

#### **SCHOLARSHIP AND LEADERSHIP**

The vast majority of CAOs (82 percent) strongly agree or agree they are glad they pursued administrative work. They divide about evenly when asked if their job is more focused on financial and management issues than on academic ones — 38 percent strongly agree or agree to 37 percent strongly disagree or disagree.

CAOs do believe there is a fundamental difference in perspective between faculty members and administrators — 48 percent strongly agree or agree this is the case, while 26 percent strongly disagree or disagree. Those at public doctoral institutions are somewhat less likely to agree (34 percent).

More than 8 in 10 CAOs strongly agree or agree that their institution is increasing attention on the ability of its degree programs to help students get a good job.

Again, using a five-p	Again, using a five-point scale, where 5 means strongly agree and 1 means strongly disagree, please indicate your level of agreement with the following statements.					
	All Institutions by Sector	Public	Private Nonprofit			

	•				_				
	All Ins	titutions by	Sector		Public		Private Nonprofit		
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.	
My institution is increasing at	tention on the ab	ility of our degre	e programs to he	lp students get	a good job.				
%5 Strongly agree	32	33	28	24	30	37	26	29	
%4	51	51	53	66	48	46	58	51	
%3	15	13	17	9	19	13	16	17	
%2	2	3	1	0	3	4	1	2	
%1 Strongly disagree	<1	0	1	0	0	0	0	2	
There is a fundamental differen	ence in perspecti	ve between facu	lty members and	administrators.					
%5 Strongly agree	14	17	10	10	11	18	14	13	
%4	34	34	36	24	36	34	38	32	
%3	25	23	28	32	27	21	23	27	
%2	22	22	22	31	21	23	22	21	
%1 Strongly disagree	4	4	5	2	4	5	2	8	
I am glad I pursued administra	ative work.								
%5 Strongly agree	50	47	52	49	38	48	54	47	
%4	32	32	33	44	44	28	29	37	
%3	13	16	10	5	9	20	11	12	
%2	4	3	4	2	6	3	6	1	
%1 Strongly disagree	1	1	1	0	3	1	0	3	
My job is more focused on fin	ancial and mana	gement issues t	han on academic	issues.					
%5 Strongly agree	9	9	9	10	15	9	11	11	
%4	29	30	29	22	39	29	32	29	
%3	26	22	29	31	15	26	34	25	
%2	28	27	28	27	23	26	19	28	
%1 Strongly disagree	9	11	6	10	7	11	4	7	

#### **TEACHING ASSISTANT UNIONS**

Recent changes in labor regulations have allowed teaching assistants at private colleges and universities to join labor unions, and teaching assistants at several private colleges have since voted to unionize. Chief academic officers who expressed an opinion on the topic — only about half of those surveyed — were not overly positive about the effects of unions for teaching assistants and for teaching and learning in higher education.

Forty percent strongly agree or agree that unions help teaching assistants improve their pay and working conditions, while 38 percent strongly disagree or disagree.

CAOs tend to be more skeptical about unionization helping to improve learning outcomes. Just 10 percent strongly agree or agree that teaching assistant unions lead to better teaching and learning in higher education, while 73 percent strongly disagree or disagree.

Using a five-point scale, where 5 means strongly agree and 1 means strongly disagree, please indicate your level of agreement with the following statements.								
	All Institutions by Sector				Public		Private Nonprofit	
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.
Unions help teaching assistan	ts improve their	pay and working	conditions.					
%5 Strongly agree	9	7	10	n/a	10	5	5	15
%4	31	33	29	n/a	45	32	20	35
%3	21	15	25	n/a	21	12	23	24
%2	14	16	13	n/a	13	12	19	14
%1 Strongly disagree	24	28	22	n/a	11	39	32	13
Unions for teaching assistants	lead to better to	eaching and lear	ning in higher edu	ucation.				
%5 Strongly agree	4	3	4	n/a	3	2	1	5
%4	6	5	7	n/a	2	9	4	10
%3	17	15	19	n/a	29	8	12	22
%2	27	31	23	n/a	35	30	21	24
%1 Strongly disagree	46	46	46	n/a	31	51	61	39

n/a: Not reported due to small sample size.

#### **ENHANCEMENTS TO CLASSROOM INSTRUCTION**

Recent research has illustrated that certain college experiences that undergraduates have outside the classroom setting, such as an internship related to their field of study, a multi-semester project or a mentorship, can be beneficial.

CAOs view each of five out-of-classroom experiences as being extremely or very effective enhancements to traditional classroom work. They are most positive about an internship or work experience; 55 percent rate this type of learning opportunity as extremely effective, and 34 percent rate it as very effective.

Seventy-nine percent say a research project with a professor would be an extremely (38 percent) or very (41 percent) effective learning experience, and 75 percent say the same about an intensive mentoring program (31 percent extremely effective and 44 percent very effective).

Slightly less say a study abroad experience (68 percent) or self-directed capstone project (61 percent) are extremely or very effective enhancements to traditional in-class instruction.

CAOs at public associate degree programs are much less positive about the benefits of a research project with a professor or a study abroad experience, perhaps because such opportunities are not as common at community colleges.

How effective do you believe each of the following would be as an enhancement to traditional classroom academic work?								
	All Ins	All Institutions by Sector			Public		Private Nonprofit	
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.
An internship or work experience								
% Extremely effective	55	50	60	61	50	50	48	64
% Very effective	34	40	29	29	42	39	37	25
% Somewhat effective	10	9	11	10	8	11	15	10
% Not very effective	1	2	<1	0	0	1	0	1
% Not effective at all	0	0	0	0	0	0	0	0
A research project with a profe	essor							
% Extremely effective	38	31	46	52	52	22	43	54
% Very effective	41	40	41	38	42	35	45	35
% Somewhat effective	17	23	13	10	6	36	12	10
% Not very effective	3	5	<1	0	0	6	0	<1
% Not effective at all	1	1	0	0	0	1	0	0

## **ENHANCEMENTS TO CLASSROOM INSTRUCTION (CONT.)**

How effective do you believe each of the following would be as an enhancement to traditional classroom academic work?								
	All Ins	All Institutions by Sector			Public		Private N	onprofit
	All	Public	Private Nonprofit	Doctoral	Master's/ Bacc.	Assoc.	Doctoral/ Master's	Bacc.
A study abroad experience		!						
% Extremely effective	32	26	38	47	39	20	41	42
% Very effective	36	33	40	28	43	30	40	42
% Somewhat effective	26	32	20	26	18	38	18	13
% Not very effective	5	8	2	0	0	11	0	3
% Not effective at all	1	1	<1	0	0	2	1	0
An intensive mentoring progra	am						-	
% Extremely effective	31	32	29	27	34	36	25	33
% Very effective	44	41	50	51	48	34	50	47
% Somewhat effective	23	25	20	20	17	29	24	20
% Not very effective	1	1	1	0	1	1	1	0
% Not effective at all	1	2	0	2	0	0	0	0
A self-directed capstone proje	ect						-	
% Extremely effective	24	19	30	26	22	17	30	32
% Very effective	37	37	36	38	48	39	35	42
% Somewhat effective	33	35	29	31	26	34	29	25
% Not very effective	5	6	5	5	3	9	6	<1
% Not effective at all	2	3	0	0	2	2	0	0

## **INSTITUTION AND PERSONAL DEMOGRAPHICS**

What is your age?	%
Under 30	0
30 to 39	2
40 to 49	16
50 to 59	48
60 to 69	31
70 and older	4

What is your gender?	%
Male	57
Female	43

How many years have you served as the provost or chief academic officer at this institution?	%
Less than 6 months	15
6 months to less than 3 years	42
3 years to less than 5 years	19
5 years to less than 10 years	14
10 or more years	10

## **INSTITUTION AND PERSONAL DEMOGRAPHICS (cont.)**

How many years have you served as the provost or chief academic officer at any institution?	%
Less than 6 months	13
6 months to less than 3 years	30
3 years to less than 5 years	20
5 years to less than 10 years	20
10 or more years	18

What type of higher education institution do you work for?	%
Public (four year)	19
Private (four year)	46
Community college	31
Private (two year)	2
For-profit institution	1

Do you consider your institution to be a liberal arts institution?	%
Yes	49
No	51

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